

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kyoichi WATANABE et al.

Title: ELECTRODE, BATTERY, AND METHOD OF
MANUFACTURING THE SAME

Appl. No.: 10/560,047

International 5/31/2004

Filing Date:
371(c) Date: 12/8/2005

Examiner: Echelmeyer, Alix Elizabeth

Art Unit: 1745

Confirmation 8180
Number:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to
Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being
submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not
intended as an admission that such document constitutes prior art against the claims of the
present application or that such document is considered material to patentability as defined in
37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be
appropriate to antedate or otherwise remove as a competent reference any document which is
determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

Documents A6-A9 listed on the attached PTO/SB/08 were cited in a Japanese Office Action issued in a counterpart Japanese application on March 6, 2007. According to an English translation of the Japanese Office Action obtained by Applicants' representative, the Japanese Patent Office made certain characterizations of the references. A copy of the Japanese Office Action and the English-language translation thereof is attached. As noted, the characterizations of the Japanese Patent Office noted above are based on an English translation obtained by Applicants' representative. The disclosure of these characterizations should not be construed as an admission of or agreement with the opinions expressed in the Japanese Office Action.

Documents A6 and A10 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Documents A1-A3, and A7 belong to the same "patent family," whereby the English language documents may assist the PTO in understanding the content of the non-English language document.

Documents A4, A5, and A8 belong to the same "patent family," whereby the English language documents may assist the PTO in understanding the content of the non-English language document.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Atty. Dkt. No. 040302-0533

Although Applicants believe that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

By



Glenn Law
Attorney for Applicant
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Date: May 25, 2007

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Receipt date: 05/25/2007

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Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Date Submitted: May 25, 2007

(use as many sheets as necessary)

Complete if Known

| | |
|-------------------------------|----------------------------|
| Application Number | 10/560,047 |
| Filing Date | 5/31/2004 |
| First Named Inventor | Kyoichi WATANABE |
| Art Unit | 1745 |
| Examiner Name | Echelmeyer, Alix Elizabeth |
| Attorney Docket Number | 040302-0533 |

Sheet

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of

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U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--|--------------------------------|---|--|
| | | Number-Kind Code ² (if known) | | | |
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FOREIGN PATENT DOCUMENTS

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| /AE/ | A7 | JP 2000-502206 A | 02-22-2000 | POWER PAPER LIMITED | | ABS |
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NON PATENT LITERATURE DOCUMENTS

| | | | |
|--------------------|-----------------------|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ⁶ |
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Examiner Signature

/Alix Echelmeyer/

Date Considered

12/17/2009

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds of Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3); 4 Enter the year of the reign of the Emperor if applicable; 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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